

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 37934-189636

First Inventor Jürgen ANGELE

Title COMPUTER SYSTEM

Express Mail Label No.

2215  
10694182  
102803

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification (Total Pages 25)  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the invention  
- Brief Summary of the invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 4)
5. Oath or Declaration (Total Pages 2)  
a. ☒ Newly executed (original or copy)  
b. ☒ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76
- 6a. ☒ Priority is claimed under the provisions of 35 U.S.C. § 119  
Appln No. 101 03 845.3 filed In Germany on January 30, 2001  
Appln No. filed In on

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))  
10. ☐ 37 C.F.R. § 3.73(b) Statement of Attorney  
(when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure  
Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76:

- ☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No. PCT / EP02/00913 filed January 30, 2002, which claims the priority to German Patent Appln. No. 101 03 845.3, filed January 30, 2001
- Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied  
under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 20. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

26694

PATENT TRADEMARK OFFICE

or ☐ Correspondence address below

Name VENABLE

Address P.O. Box 34385

City Washington

State

D.C.

Zip Code

20043-9998

Country U.S.A

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Name (Print/Type)

Robert Kinberg

Registration No. (Attorney/Agent)

Signature

Robert Kinberg

Date

July 30, 2003

PC Docs No.: :DDMA\PGDCDS\DC2DOC5114785\1

16638 U.S. PTO

Under the Paperwork Reduction Act of 1995

Persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (10-02)

U.S. Patent and Trade

**FEE TRANSMITTAL**  
**for FY 2003**

*Patent fees are subject to annual revision*

☒ Applicant claims small entity status. See 37 CFR 1.27

<b>TOTAL AMOUNT OF PAYMENT</b>	<b>(\$)</b>	<b>532.00</b>
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**Complete If Known**

Application Number	NOT YET ASSIGNED
Filing Date	July 30, 2003
First Named Inventor	Jürgen ANGELE
Examiner Name	NOT YET ASSIGNED
Group / Art Unit	NOT YET ASSIGNED
Attorney Docket No.	37934-189636 RK

**METHOD OF PAYMENT** (check all that apply)

☒ Check   ☐ Credit card   ☐ Money Order   ☐ Other   ☐ None☒ Deposit Account:

Deposit  
Account  
Number  
Deposit  
Account  
Name

22.0261

VENABLE ATTORNEYS AT LAW

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below    ☒ Credit any overpayments

☒ Charge any additional fee(s) under 1.16 or 1.17 during pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

### FEE CALCULATION

1. BASIC FILING FEE				
Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1001	750	2001	375	Utility filing fee
1002	330	2002	165	Design filing fee
1003	520	2003	260	Plant filing fee
1004	750	2004	375	Reissue filing fee
1005	160	2005	80	Provisional filing fee

**Fee Paid**

375.00

**SUBTOTAL (1)**

(\$)375.00

## 2. EXTRA CLAIM FEES

			Extra Claims		Fee from below		Fee Paid
Total Claims	33	-20 **	13	X	9	=	117
Independent Claims	2	-3 **	0	X	42	=	0
Multiple Dependent				X		=	0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue Independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

<b>SUBTOTAL (2)</b>	<b>(\$)</b> 117.00
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**\*\*or number previously paid, if greater; For Reissues, see above**

### 3. ADDITIONAL FEES

**FEE CALCULATION (continued)**

Large Entity , Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130	Non-English specification
1812	2,520	1812	2,520	For filing request for ex parte reexamination
1804	920*	1804	920*	Requesting publication of SIR prior to Examination
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for reply within first month
1252	410	2252	205	Extension for reply within second month
1253	930	2253	425	Extension for reply within third month
1254	1,450	2254	765	Extension for reply within fourth month
1255	1,970	2255	985	Extension for reply within fifth month
1401	320	2401	160	Notice of Appeal
1402	320	2402	160	Filing a brief in support of an appeal
1403	280	2403	140	Request for oral hearing
1451	1,510	1451	1,510	Petition to institute a public use proceeding
1452	110	2452	55	Petition to revive - unavoidable
1453	1,300	2453	650	Petition to revive - unintentional
1501	1,300	2501	650	Utility issue fee (or reissue)
1502	407	2502	235	Design issue fee
1503	630	2503	315	Plant issue fee
1640	130	1460	130	Petitions to the Commissioner
807	50	1807	50	Processing fee under 37 CFR 1.17 (t)
806	180	1806	180	Submission of Information Disclosure Sheet
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
8050	750	2809	375	Filing a submission after final rejection (37 CFR § 1.126(a))
810	750	2810	375	For each additional invention to be examined (37 CFR § 1.126(b))
801	750	2801	375	Request for Continued Examination (RCE)
802	900	1802	900	Request for expedited examination of a design application

**Fee Paid**

40.00


Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)	(\$ ) 40.00
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(\$)  
40.00

## SUBMITTED BY

Name (Print/Type)	Robert Kinberg	Registration No. Attorney/Agent)	26,924	Telephone	202-962-4800
Signature				Date	July 30, 2003

Complete (if applicable)

Date July 30, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  
#ODMA/PCDOCS/DC2DOCS14743551

##ODMAVPCDOCSIDC2DOCS1W748051

The PFO did not receive the following:

listed item(s) A check for \$532.00

**VENABLE**  
ATTORNEYS AT LAW

114518  
Venable Filing Number

Attorney, A: RKK/mg  
PTO Due Date: July 30, 2003  
Date Filed: July 30, 2003  
Official Gazette Date: \_\_\_\_\_

Atty. Docket No: 37934-189636  
Re: Jürgen ANGELE  
Application No: Not Yet Assigned  
Patent No.: \_\_\_\_\_  
Trademark: \_\_\_\_\_  
Opposition/Cancellation No: \_\_\_\_\_

Filing Date: July 30, 2003  
Issue Date: \_\_\_\_\_  
Trademark Reg. No: \_\_\_\_\_

The following items were received from Venable, Washington, D.C., by the U.S. Patent & Trademark Office:

**U.S. PTO FEES ENCLOSED**

- ☐ Missing Parts Transmittal Letter  
☐ Transmittal Letter  
☒ New Continuation in Part of Application of PCT/EP02/00913 25 pages of specification/claims/abstract)  
☒ Formal Drawings (4 sheets Figs.4)  
☒ Invention Declaration  
☐ U.S. National Stage Application of PCT Application  
☐ Translation of International Application  
☐ New U.S. TM Application ( specimens)  
☐ Rule 53(d) Continued Prosecution Application  
☐ Substitute Specification  
☐ Rule 53(b) Continuation or Divisional Application (*attach copy of specification, claims, drawings & declaration*)  
☐ Priority Document-Cert. Copy of Appln.#: \_\_\_\_\_; Country: \_\_\_\_\_  
☐ Date Filed: \_\_\_\_\_  
☐ Request for Continued Examination (RCE) under 37 CFR 1.114  
☐ Request for Reconsideration  
☒ Application Data Sheet  
☐ Assignment w/Cover Sheet  
☐ IDS w/ PTO SB/08 a/k/a PTO Form-1449 ( references)  
☐ Response  
☐ Amendment/☐ Preliminary Amendment  
☐ Petition/Request for Extension of Time (one mo. ext.)  
☐ Notice of Appeal  
☐ Appeal Brief (*in triplicate*)  
☐ Reply Brief (*in triplicate*)/ ☐ Request for Oral Hearing  
☐ Confirmation of Hearing Petition  
☒ Fee Transmittal  
☐ Certificate of Correction  
☐ Maintenance Fee Transmittal  
☐ Power of Attorney  
☐ Response to Notice to File Missing Parts  
☐ Response to Notice to File Missing Requirements  
☐ Response to Restriction Requirement  
☐ Petition to Revoke  
☐ Sequence Listing - CDR Enclosed? ☐ Yes ☐ No  
☐ Status Inquiry  
☐ Request for Non-Publication  
☐ Request to Rescind Non-Publication Request  
☐ Terminal Disclaimer  
☐ TM Statement of Use  
☐ Extension of Time ( mo. ext.)  
☐ Declaration Under 8  
☐ Declaration Under 8 and 15  
☐ TM Renewal Application  
☐ Notice of Opposition (*Please list all documents in Other section*)  
☐ Amendment to Alleged Use  
☐ Other: (*Please describe below*)  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

375.00 Filing Fee  
\_\_\_\_\_  
Surcharge Fee  
\_\_\_\_\_  
117.00 Additional Claim Fee  
40.00 Recordation/Indexing Fee  
\_\_\_\_\_  
IDS Fee  
\_\_\_\_\_  
Extension Fee  
\_\_\_\_\_  
Notice of Appeal Fee  
\_\_\_\_\_  
Brief on Appeal Fee  
\_\_\_\_\_  
Oral Hearing Request Fee  
\_\_\_\_\_  
Petition Fee  
\_\_\_\_\_  
Issue Fee  
\_\_\_\_\_  
Publication Fee  
\_\_\_\_\_  
Maintenance Fee  
\_\_\_\_\_  
TM Statement of Use  
\_\_\_\_\_  
8 Affidavit Fee  
\_\_\_\_\_  
8 and 15 Affidavit  
\_\_\_\_\_  
TM Renewal Application Fee  
\_\_\_\_\_  
Notice of Opposition Fee  
\_\_\_\_\_  
TM Extension of Time Fee



532.00 Total Fees Paid

☒ Check Number 415543 Attached  
☐ Charge Deposit Account No. 22-0261\*  
☐ Yes ☒ No

\*If the Deposit Account was used, was a copy of this form sent to Accounting?

PLEASE RETURN TO MONTE GRAY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jürgen ANGELE

Appl. No. Unassigned

Continuation-in-part of PCT/EP02/00913

Filed: July 30, 2003

For: COMPUTER SYSTEM

Art Unit: Unassigned

Examiner: Unassigned

Atty. Docket No. 37934-189636

Customer No.

26694

PATENT TRADEMARK OFFICE

**REQUEST EARLY NOTIFICATION OF SERIAL NUMBER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

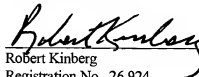
**Attention: NEW APPLICATIONS**

Sir:

We filed the subject Continuation-in-Part Application in the U.S. Patent and Trademark Office on July 30, 2003 for the above-mentioned application. We also submitted a post card requesting early notification of serial number. We have yet to received the postcard. Attached is a duplicate copy of the Continuation-in-Part Application as filed, along with a copy of the USPTO date-stamped receipt for your records. Please return the attached self-stamped postcard stating the serial number and original filing date of July 30, 2003 for this application.

Respectfully submitted,

Date: 10/24/03

  
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